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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Pedlow, Jr. et al.

Group Art Unit: 2423

Serial No.: 10/764,011

Examiner: Chin, Ricky

Filed: 1/23/2004

Title: Bi-Directional Indices for Trick
Mode Video-on-Demand

Attorney Docket No.: SNY-T5710.01

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

The undersigned submits herewith patents, publications or other information (enclosed herewith and/or listed on the enclosed list of references form) of which he is aware, which he believes is relevant and may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR §1.56. The order of listing of the references on the attached form and any appendix hereto is without regard for relative relevance to the present invention.

This Information Disclosure Statement is submitted:

- ☐ Under 37 CFR §1.97(b).
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ Under 37 CFR §1.97(c).
☐ Below is a statement under 37 CFR §1.97(e), or
☒ An IDS submission fee under 37 CFR §1.17(p).
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first. Either a statement under 37 CFR 1.97(e) or an IDS submission fee is required.)
- ☐ Under 37 CFR §1.97(d).
☐ Below is a statement under 37 CFR §1.97(e), and
☐ An IDS submission fee set forth in 37 CFR §1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

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The undersigned hereby certifies under 37 CFR §1.97(e) that:

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- ☐ No item of information contained in the Information Disclosure Statement:
 - were cited in a communication from a foreign patent office in a counterpart foreign application, and
 - to the knowledge of the undersigned, after making reasonable inquiry, were known to an individual designated in 37 CFR §1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

A concise explanation of relevance of the items listed on the attached form:

- ☒ Additional information is provided in an appendix to this communication.
- ☐ Appears in the body of the application.
- ☐ Is given for non-English language listed item(s) [Required] and appears in an appendix to this communication.
- ☐ Is in the form of an English language copy of a Search Report (copy attached) from a foreign patent office, issued in a counterpart application which refers to the relevant portions of the references.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR §1.56, this statement does not constitute an admission that any patent, publication or other information referred to therein is "prior art" or "material" to this invention or any application for patent for this invention.

In accordance with 37 CFR §1.97, the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that other information that may be material as defined in 37 CFR §1.56 exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR §1.97 and §1.98 and MPEP §609. The Examiner is requested to fully consider each of these references and acknowledge such consideration by appropriately initialing the attached form and returning a copy to the address below. In addition, the Examiner is requested to conduct a thorough independent search in order to bring the best references available forward in this application.

- ☐ No fee is believed to be required for this IDS.
- ☐ A check for the above fees is enclosed.
- ☒ Please charge the IDS fee of \$ 180 under 37 CFR §1.17(p) to my credit card. See the enclosed credit card charge authorization form.
- ☒ The commissioner is hereby authorized to charge any additional fees which may be required for this submission, or credit any overpayment to my Deposit Account No. 50-1267.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is, on the date shown below, being deposited with the U.S. Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Jerry A. Miller
(Applicant, Assignee or Reg. Representative)

/Jerry A. Miller 30779/
Signature

04/06/2010
Date

Respectfully submitted,

/Jerry A. Miller 30779/
Jerry A. Miller
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Dated: 04/06/2010

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APPENDIX

These references are cited in one or more applications related to this patent application in US Office Actions. In some instances, art cited in this and other IDS may not constitute prior art to the present application. Below is a list of references received with reference to the corresponding application number.

Document Number	Issue/Pub. Date	Name	Filing Date	Disclosure from
4,374,399	02/15/1983	Ensinger	08/13/1981	US Application No. 11/983,296
6,741,795	05/25/0004	Takehiko et al.	07/28/1999	US Application No. 11/983,296
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5,920,625	07/06/1999	Davies	09/29/994	US Application No. 11/526,316
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2003/0108199	06/12/2003	Pinder et al.	12/11/2001	US Application No. 12/386,629
6,704,733	03/09/2004	Clark et al.	09/26/2001	US Application No. 11/097,919
2002/0026478	02/28/2002	Rodgers et al.	03/14/2001	US Application No. 11/097,919
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7,023,924	04/04/2006	Keller et al.	12/28/2000	US Application No. 10/764,011
2002/0036717	03/28/2002	Abiko et al.	11/29/2001	US Application No. 11/708,418
6,314,409	11/06/2001	Schneck et al.	10/26/1998	Canadian Application No. 2,437,014 (related to US 7,350,082)
EP 0 926 894	06/30/1999			European Application No. 02795874.3 (related to US 7,336,787)
WO 97/46009	12/04/1997			Canadian Application No. 2,413,880 (related to US 7,218,738)
JP 10-336624	12/18/1998			Japanese Application No. 2004-534603 (related to US 7,120,260)

Document Number	Issue/Pub. Date	Name	Filing Date	Disclosure from
JP 2001-69480	03/16/2001			Japanese Application No. 2004-534603 (related to US 7,120,260)
JP 61-264371	11/22/1986			Japanese Application No. 2004-534603 (related to US 7,120,260)
WO 01/35669	05/17/2001			Canadian Application No. 2,437,025 (related to US 7,151,833)
"Final Report of the Co-Chairs of the Broadcast Protection Discussion Subgroup to the Copy Protection Technical Working Group"	06/03/2003			Japanese Application No. 2006-508842 (related to US 7,292,692)
"Rehabilitation of Digital Television"	01/2003			Japanese Application No. 2006-508842 (related to US 7,292,692)
"Secure Transmission of MPEG Video Sources"	11/06/1998			Resubmitted based on US 12/001,561.